

# Related Party Addendum

## Unit Trusts

### Corporate and Institutional



Please complete only the sections applicable to your related party role. A copy of identification for each related party must be submitted together with this form.

	1	2	3	4	5
Primary contact person	✓	✓			
Alternate contact persons	✓				
Authorised persons (signatories)	✓	✓	✓		
Associated persons	✓	✓	✓		
Beneficial owners	✓	✓	✓		
Controlling persons	✓	✓	✓	✓	
Persons requesting viewing only online access*	✓				
Persons authorised to transact online**	✓	✓	✓		
Where any related party is a legal entity					✓

#### Associated person:

A person included in the management structure of an organization as well as a beneficiary, sponsor or donor

#### Beneficial owner:

A person or legal entity that has a controlling ownership of more than 25% or who exercises control over the organization

#### Controlling person:

This is specifically in terms of FATCA / CRS and applies to the beneficial owners of Passive NFSs, an investment entity resident in a non-participating jurisdiction and is managed by another financial institution in a participating jurisdiction or a Trustee-documented trust

For further definitions of related parties please ask your Client Relationship Team for our 'Related party definitions addendum'.

#### Please note:

##### \* Persons authorised to view investment online

- We will need to have a valid cell phone number on record for you to register
- This authority to access this investment online does not allow the authorised persons to transact on the unit trust portfolio(s) or to give any other instructions
- Nedgroup Investments is entitled to, and shall, regard online access obtained through the login details issued to you and/or the authorised person(s) as actually originating from you

##### \*\* Persons authorised to transact on behalf of the investor online

- Any instructions from an authorised person(s) which require any changes to the bank account details that Nedgroup Investments has on record, will not be permitted.
- Nedgroup Investments is entitled to, and shall, regard all instructions submitted through the login details issued to you and/or the authorised person(s) as actually originating from you.
- If you'd like to authorise an additional person(s) to transact on your behalf, please copy this section and submit with this form.

## 1. Personal details

### Please note:

Please provide proof of identity for all related persons.

Title and surname

First names

SA ID number

Cell phone number

+   (0)

Email address

## 2. Nationality

Passport number  
(if foreign national)

Passport expiry date

Passport country

Country of birth \*

Place of birth  
(city or town)

Do you have more than one nationality / citizenship?

Yes

No

Nationality / citizenship 1

Nationality / citizenship 2

Nationality / citizenship 3

## 3. Address

Residential address

Postal address  
(if different)

Code

Code

Country

South Africa

Country

South Africa

If other

If other

## 4. Tax residency

### Only complete for each controlling person if the entity is classified under FATCA / CRS as:

- A passive Non-Financial Entity
- Trustee-documented trust
- An investment entity that is resident in a non-participating jurisdiction and is managed by another financial institution in a participating jurisdiction

Are you a **US citizen**, a **US person** or a **US national**?  Yes  No

**Please note:**

- If 'Yes' is selected, please complete an **IRS W-9 form** in addition to this document
- If you are NOT a United States (US) citizen, a US person or a US national but you have a US physical or postal address, please complete a W-8BEN-E form
- If you were born in the US, including a US territory, or you were a US citizen or US national and you have renounced your US citizenship, you will need to provide Nedbank with a completed IRS W-8BEN-E form

Forms can be found on [www.irs.gov](http://www.irs.gov) – the website of the Internal Revenue Service for the United States.

Do you have tax obligations, tax liabilities or tax residencies outside of South Africa?  Yes  No

If 'Yes', please complete the following for each country of tax residency:

Country of tax residency	Tax identification number (TIN)	TIN not applicable reason		
		A	B	C
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

**Please note:**

If you are unable to provide a TIN number, please indicate the relevant reason:

- Reason A: Your country does not issue TIN's to its tax residents. (This does not apply to US tax residents.)
- Reason B: Your tax authority does not need you to provide a TIN. (This does not apply to US tax residents.)
- Reason C: You have not or did not register for a TIN with your tax authority.

**I undertake to advise Nedgroup Investments promptly and provide an updated self-certification form where any change in circumstances occur which causes any of the information contained in this addendum to be incorrect.**

## 5. Legal entities

Please refer to our FICA check list for details of the supporting documentation that must be submitted together with this application for your legal entity type.

Registered name	<input type="text"/>		
Trading name local	<input type="text"/>		
Trading name foreign	<input type="text"/>		
Registration number	<input type="text"/>		
Registered address	<input type="text"/>	Postal address (if different)	<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
	<input type="text"/>		<input type="text"/>
	Code <input type="text"/>		Code <input type="text"/>
Country	South Africa	Country	South Africa
If other	<input type="text"/>	If other	<input type="text"/>
Physical business address	<input type="text"/>		
		Code	<input type="text"/>
Country of incorporation	<input type="text"/>		